

**2016 Risk Assessment Mitigation Phase
Investigation 16-10-015
Workpapers to
Workplace Violence
(Chapter SDG&E-9-WP)**

January 2017



2016 Risk Assessment Mitigation Phase
SDGE-09-WP
Risk: Workplace Violence (O&M)

| Line No. | Mitigation | Project/Program | Project/Program Description | Status | Recorded (Directs, 2015 \$000) | | | | | Forecast Range (Directs, 2015 \$000) | | | | | | Forecast Methodology |
|----------|--|------------------------------------|--|--------|--------------------------------|-----------------|-----------------|-----------------|-----------------|--------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|----------------------|
| | | | | | 2011 | 2012 | 2013 | 2014 | 2015 | 2017 Low | 2017 High | 2018 Low | 2018 High | 2019 Low | 2019 High | |
| 1 | Physical Security | Physical Security Systems | Physical security measures put in place for the security/safety of employees and infrastructure | B/P | \$ - | \$ - | \$ - | \$ - | \$ 395 | \$ 745 | \$ 819 | \$ 359 | \$ 394 | \$ 367 | \$ 403 | Zero-Based |
| 2 | | Contract Security | Security guard staffing | B/P | 2,508 | 2,612 | 2,485 | 2,960 | 3,925 | 5,288 | 5,922 | 5,817 | 6,515 | 6,398 | 7,166 | 5-Year Average |
| 3 | Physical Security Subtotal | | | | 2,508 | 2,612 | 2,485 | 2,960 | 4,320 | 6,032 | 6,741 | 6,175 | 6,909 | 6,765 | 7,569 | |
| 4 | Planning, Awareness, and Incident Management | Workplace Violence Training | Workplace violence training provided by external resources | B | - | 9 | 0 | 1 | 29 | 27 | 37 | 1 | 5 | 27 | 37 | Trend |
| 5 | | Active Shooter Training | Various workplace violence, active shooter, or hostile intruder training that are provided by Corporate Security | B/P | 6 | 31 | 184 | 19 | 29 | 44 | 64 | 44 | 64 | 44 | 64 | 5-Year Average |
| 6 | | Workplace Violence Mitigation Team | Responsible for developing and executing an effective Workplace Violence Prevention program | B | 15 | 16 | 16 | 17 | 17 | 17 | 52 | 17 | 52 | 17 | 52 | Base Year |
| 7 | | Investigations | Corporate Security agent investigations on incidents or reports that may involve workplace violence | B/P | 59 | 75 | 75 | 70 | 61 | 58 | 68 | 58 | 68 | 58 | 68 | Base Year |
| 8 | | Employee Awareness | Training, bulletins, communication to employees regarding safety/security | B/P | - | - | - | - | - | - | - | - | - | - | - | |
| | | New-hire screening processes | Background investigations for new hire candidates | B | 24 | 21 | 14 | 7 | 25 | 13 | 23 | 13 | 23 | 13 | 23 | 5-Year Average |
| 9 | | Employee Assistance Program(s) | Various assistance programs for employees focused on wellness | B | 14 | 14 | 14 | 15 | 14 | 14 | 15 | 14 | 15 | 14 | 15 | 5-Year Average |
| 10 | | D3 - Incident Management System | D3 - Incident Management System | B/P | 9 | 9 | 9 | 9 | 9 | 17 | 24 | 17 | 24 | 17 | 24 | Zero-Based |
| 11 | | Social Media Monitoring | Social Media Monitoring | P | | | | | | 45 | 85 | 45 | 85 | 45 | 85 | Zero-Based |
| 12 | | Risk Management Program | Risk Management Program | B/P | | | | | 100 | 180 | 220 | 180 | 220 | 180 | 220 | Zero-Based |
| 13 | | Security Agent | Additional agent | P | | | | | | 110 | 130 | 110 | 130 | 110 | 130 | Zero-Based |
| 14 | Planning, Awareness, and Incident Management Subtotal | | | | 126 | 175 | 313 | 137 | 285 | 526 | 718 | 500 | 686 | 526 | 718 | |
| 15 | TOTAL | | | | \$ 2,634 | \$ 2,787 | \$ 2,797 | \$ 3,098 | \$ 4,604 | \$ 6,558 | \$ 7,459 | \$ 6,675 | \$ 7,595 | \$ 7,291 | \$ 8,287 | |

Notes:

- Baseline (B) and Proposed (P).
- Numbers in risk chapter tables may differ due to rounding.
- The purpose of Risk Assessment Mitigation Phase (RAMP) is not to request funding. Any funding requests will be made in the General Rate Case (GRC). The forecasts for mitigations are not for funding purposes, but are rather to provide a range for the future GRC filing. This range will be refined with supporting testimony in the GRC.

2016 Risk Assessment Mitigation Phase
SDGE-09-WP
Risk: Workplace Violence (Capital)

| Line No. | Mitigation | Project/Program | Project/Program Description | Status | Recorded (Directs, 2015 \$000) | | | | | Forecast Range (Directs, 2015 \$000) | | | | | | | | |
|----------|---|------------------------------------|--|--------|--------------------------------|----------|----------|----------|----------|--------------------------------------|-----------|----------|-----------|----------|-----------|---------------------|----------------------|----------------------|
| | | | | | 2011 | 2012 | 2013 | 2014 | 2015 | 2017 Low | 2017 High | 2018 Low | 2018 High | 2019 Low | 2019 High | 2017-2019 Low (Sum) | 2017-2019 High (Sum) | Forecast Methodology |
| 1 | Physical Security | Physical Security Systems | Physical security measures put in place for the security/safety of employees and infrastructure | B/P | \$ 506 | \$ 820 | \$ 2,227 | \$ 1,734 | \$ 3,446 | \$ 6,560 | \$ 8,017 | \$ 2,700 | \$ 3,301 | \$ 2,781 | \$ 3,400 | \$ 12,041 | \$ 14,718 | Zero-Based |
| 2 | | Contract Security | Security guard staffing | B/P | 620 | 748 | 864 | 580 | 844 | 804 | 892 | 885 | 981 | 973 | 1,079 | 2,662 | 2,952 | 5-Year Average |
| 3 | Physical Security Subtotal | | | | 1,127 | 1,569 | 3,091 | 2,314 | 4,289 | 7,364 | 8,909 | 3,585 | 4,282 | 3,754 | 4,479 | 14,703 | 17,670 | |
| 4 | Planning, Awareness, and Incident Management | Workplace Violence Training | Workplace violence training provided by external resources | B | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 5 | | Active Shooter Training | Various workplace violence, active shooter, or hostile intruder training that are provided by Corporate Security | B/P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 6 | | Workplace Violence Mitigation Team | Responsible for developing and executing an effective Workplace Violence Prevention program | B | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 7 | | Investigations | Corporate Security agent investigations on incidents or reports that may involve workplace violence | B/P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 8 | | Employee Awareness | Training, bulletins, communication to employees regarding safety/security | B/P | 240 | 246 | 249 | 252 | 252 | 177 | 195 | 177 | 195 | 177 | 195 | 531 | 584 | Zero-Based |
| 9 | | New-hire screening processes | Background investigations for new hire candidates | B | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 10 | | Employee Assistance Program(s) | Various assistance programs for employees focused on wellness | B | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 11 | | D3 - Incident Management System | D3 - Incident Management System | B/P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 12 | | Social Media Monitoring | Social Media Monitoring | P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 13 | | Risk Management Program | Risk Management Program | B/P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 14 | | Security Agent | Additional agent | P | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 15 | Planning, Awareness, and Incident Management Subtotal | | | | 240 | 246 | 249 | 252 | 252 | 177 | 195 | 177 | 195 | 177 | 195 | 531 | 584 | |
| 16 | TOTAL | | | | \$ 1,367 | \$ 1,814 | \$ 3,340 | \$ 2,566 | \$ 4,541 | \$ 7,541 | \$ 9,104 | \$ 3,762 | \$ 4,477 | \$ 3,931 | \$ 4,674 | \$ 15,234 | \$ 18,254 | |

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